A copy of Miss Lydia Bain's Privacy Notice with details of his Privacy Policy can be found below:

Lydia Bain Privacy Notice - General Data Protection Regulation ("GDPR")

Please read the following information carefully. This privacy notice contains information about the information collected, stored and otherwise processed about you and the reasons for the processing. It also tells you who I share this information with, the security mechanisms I have put in place to protect your data and how to contact me in the event you need further information.

Name: Lydia Bain

Who Am I? I am a practising barrister and was called to the Bar in 1998

Specialisms Crime, Employment, General Practice, Family and

Immigration

Chambers Details 85 Landor Road

London

SW9 9RT

Tel: 020 7978 8482

Website <u>www.claphamlawchambers.co.uk</u>

Email <u>lydia@claphamlawchambers.co.uk</u>

I, Lydia Bain, collects, uses and is responsible for personal information about you. When I do this I am the 'controller' of this information within the meanings of Article 4(7) of the General Data Protection Regulations, Section 1(1) of the Data Protection Act 1998 and Section 108(8) of the Digital Economy Act 2017.

If you need to contact me about your data or the processing carried out, you can use the contact details at the end of this document.

What do I do with your information?

Information collected

When carrying out the provision of legal services or providing a reference I collect some or all of the following personal information that you provide:

- a. personal details
- b. family details
- c. lifestyle and social circumstances.

- d. financial details
- e. education, training and employment details
- f. physical or mental health details
- g. racial or ethnic origin
- h. political opinions
- i. religious, philosophical or other beliefs
- j. trade union membership
- k. sex life or sexual orientation
- I. genetic data
- m. biometric data for the purpose of uniquely identifying a natural person
- n. details of any criminal proceedings, outcomes and sentences, and related security measures
- o. other personal data relevant to instructions to provide legal services, including data specific to the instructions in question.

Information collected from other sources.

The same categories of information may also be obtained from third parties, such as other legal professionals or experts, members of the public, your family and friends, witnesses, courts and other tribunals, investigators, government departments, regulators, public records and registers,

How I use your personal information: Purposes

I may use your personal information for the following purposes:

- i. to provide legal services to my clients, including the provision of legal advice and representation in courts, tribunals, arbitrations, and mediations
- ii. to keep accounting records and carry out office administration
- iii. to take or defend legal or regulatory proceedings
- iv. to respond to potential complaints or make complaints
- v. to check for potential conflicts of interest in relation to future potential cases
- vi. to promote and market my services
- vii. to carry out anti-money laundering and terrorist financing checks
- viii. to train other barristers and when providing intern or mini pupil experiences
- ix. to respond to requests for references
- x. when procuring goods and services

- xi. to publish legal judgments and decisions of courts and tribunals
- xii. as required or permitted by law.

Whether information has to be provided by you, and why

If I have been instructed by you or on your behalf on a case or if you have asked for a reference, your personal information has to be provided, to enable me to provide you with advice or representation or the reference, and to enable me to comply with my professional obligations, and to keep accounting records.

The legal basis for processing your personal information

I rely on the following as the lawful bases on which I collect and use your personal information:

- If you have consented to the processing of your personal information, then I
 may process your information for the Purposes set out above to the extent to
 which you have consented to me doing so.
- If you are a client, processing is necessary for the performance of a contract for legal services or in order to take steps at your request prior to entering into a contract.
- In relation to information which is in categories (g) to (o) above (these being categories which are considered to include particularly sensitive information and which include information about criminal convictions or proceedings) I rely on your consent for any processing for the purposes set out in purposes (ii), (iv), (vi), (viii) and (ix) above. I need your consent to carry out processing of this data for these purposes. However, if you do not consent to processing for purposes (iv) and (ix) (responding to potential complaints and providing a reference) I will be unable to take your case or to provide a reference. This is because I need to be able to retain all the material about your case until there is no prospect of a complaint and to provide an informed and complete reference.
- In relation to information in categories (g) to (o) above (these being categories which are considered to be particularly sensitive information and include information about criminal convictions or proceedings), I am entitled by law to process the information where the processing is necessary for legal proceedings, legal advice, or otherwise for establishing, exercising or defending legal rights.
- In relation to information which is not in categories (g) to (o) above, I rely on my legitimate interest and/or the legitimate interests of a third party in carrying out the processing for the Purposes set out above.
- In certain circumstances processing may be necessary in order that I can comply with a legal obligation to which I am subject (including carrying out antimoney laundering or terrorist financing checks).

 The processing is necessary to publish judgments or other decisions of courts or tribunals.

Who will I share your personal information with?

If you are a client, some of the information you provide will be protected by legal professional privilege unless and until the information becomes public in the course of any proceedings or otherwise. As a barrister I have an obligation to keep your information confidential, except where it otherwise becomes public or is disclosed as part of the case or proceedings.

It may be necessary to share your information with the following:

- data processors, such as my Chambers staff, interns, IT support staff, email providers, data storage providers
- other legal professionals
- experts and other witnesses
- prosecution authorities
- · courts and tribunals
- the staff in my chambers
- pupil barristers
- lay clients
- family and associates of the person whose personal information I am processing
- in the event of complaints, the Head of Chambers, other members of Chambers who deal with complaints, the Bar Standards Board, and the Legal Ombudsman
- other regulatory authorities
- current, past or prospective employers
- education and examining bodies
- business associates, professional advisers and trade bodies, e.g. the Bar Council
- the intended recipient, where you have asked me to provide a reference.
- the general public in relation to the publication of legal judgments and decisions of courts and tribunals
- I adopt Chambers Policy in respect of the DPA Bill sch. 1 Part 2. para. 5(1)].

I may be required to provide your information to regulators, such as the Bar Standards Board, the Financial Conduct Authority or the Information Commissioner's Office. In the case of the Information Commissioner's Office, there is a risk that your information may lawfully be disclosed by them for the purpose of any other civil or criminal proceedings, without my consent or yours, which includes privileged information.

I may also be required to disclose your information to the police or intelligence services, where required or permitted by law.

Sources of information

The personal information I obtain may include information which has been obtained from:

- other legal professionals
- experts and other witnesses
- prosecution authorities
- courts and tribunals
- pupil barristers
- interns
- lay clients
- family and associates of the person whose personal information I am processing
- in the event of complaints, the Head of Chambers, other members of Chambers who deal with complaints, the Bar Standards Board, and the Legal Ombudsman
- other regulatory authorities
- current, past or prospective employers
- education and examining bodies
- business associates, professional advisers and trade bodies, e.g. the Bar Council
- the intended recipient, where you have asked me to provide a reference.
- the general public in relation to the publication of legal judgments and decisions of courts and tribunals data processors, such as my Chambers staff, interns, IT support staff, email providers, data storage providers
- public sources, such as the press, public registers and law reports.

Transfer of your information outside the European Economic Area (EEA)

This privacy notice is of general application and as such it is not possible to state whether it will be necessary to transfer your information out of the EEA in any particular case or for a reference. However, if you reside outside the EEA or your case or the role for which you require a reference involves persons or organisations or courts and tribunals outside the EEA then it may be necessary to transfer some of your data to

that country outside of the EEA for that purpose. If you are in a country outside the EEA or if the instructions, you provide come from outside the EEA then it is inevitable that information will be transferred to those countries. If this applies to you and you wish additional precautions to be taken in respect of your information, please indicate this when providing initial instructions.

Some countries and organisations outside the EEA have been assessed by the European Commission and their data protection laws and procedures found to show adequate protection. The list can be found here. Most do not. If your information has to be transferred outside the EEA, then it may not have the same protections and you may not have the same rights as you would within the EEA.

[I may transfer your personal information to the following which are located outside the European Economic Area (EEA):

- [cloud data storage services based in the USA who have agreed to comply with the EU-U.S. Privacy Shield, in order to enable me to store your data and/or backup copies of your data so that I may access your data when they need to. The USA does not have the same data protection laws as the EU but the EU-U.S. Privacy Shield has been recognised by the European Commission as providing adequate protection. To obtain further details of that protection see https://ec.europa.eu/info/law/law-topic/data-protection/data-transfers-outside-eu/eu-us-privacy-shield_en.]
- cloud data storage services based in Switzerland, in order to enable me to store
 your data and/or backup copies of your data so that I may access your data
 when I need to. Switzerland does not have the same data protection laws as
 the EU but has been recognised by the European Commission as providing
 adequate protection; see https://ec.europa.eu/info/law/law-topic/dataprotection/data-transfers-outside-eu/adequacy-protection-personal-data-noneu-countries_en.]

If I decide to publish a judgment or other decision of a Court or Tribunal containing your information, then this will be published to the world.

I will not [otherwise] transfer personal information outside the EEA [except as necessary for providing legal services or for any legal proceedings].

if you would like any further information please use the contact details at the end of this document.

How long will I store your personal data?

I will normally store all your information:

until at least 1 year after the expiry of any relevant limitation period (which will usually be 6 years, but may be 12 years, or longer where the case includes information relating to a minor), from [the date of the last item of work carried out, the date of the last payment received or the date on which all outstanding payments are written off, whichever is the latest/whatever other end point is chosen]. This is because it may be needed for potential legal proceedings/ other period – provide justification]. At this point any further retention will be reviewed and the data will be marked for deletion or marked for retention for a further

period. The latter retention period is likely to occur only where the information is needed for legal proceedings, regulatory matters or active complaints. Deletion will be carried out (without further notice to you) as soon as reasonably practicable after the data is marked for deletion.

- until at least 7 years after the expiry of any relevant limitation period, for example the date on which you leave Chambers or your employment terminates, the date of the last provision of service or goods, the date of the last payment of your tax and NIC to HMRC, the date of the last fee payment made or received or the date on which all outstanding payments are written off, whichever is the latest. This is because it may be needed for potential legal proceedings or enquiries from Government agencies such as the LAA or HMRC or CPS or instructing solicitors regarding advice on appeal or making enquiries concerning fees at this point any further retention will be reviewed and the information will be marked for deletion or marked for retention for a further period. The latter retention period is likely to occur only where the information is needed for legal proceedings, regulatory matters or active complaints. Deletion will be carried out as soon as reasonably practicable after the information is marked for deletion.
- Equality and diversity data may be retained for a period of three years in pseudonym form for the purpose of research and statistics and complying with regulatory obligations in relation to the reporting of equality and diversity data.
- Personal information held for recruitment purposes or in relation to pupillage, internship or mini-pupillage will be stored for a period of three years for example the date on which you leave Chambers or your employment terminates, the date of the last provision of service or goods. This is because we may receive requests for references or enquiries from Government departments concerning your employment status and records held by us. At this point any further retention will be reviewed and the information will be marked for deletion or marked for retention for a further period. The latter retention period is likely to occur only where the information is needed for legal proceedings, regulatory matters or active complaints. Deletion will be carried out as soon as reasonably practicable after the information is marked for deletion.
- I will store some of your information which I need to carry out conflict checks for the rest of my career. However, this is likely to be limited to [your name and contact details/ the name of the case]. This will not include any information within categories (g) to (o) above.
- Information related to anti-money laundering checks will be retained until seven years after the completion of the transaction or the end of the business relationship, whichever is the later;]
- Names and contact details held for marketing purposes will be stored indefinitely or until I [or my clerks] become[s] aware or am[/are] informed that the individual has ceased to be a potential client.

Consent

As explained above, I am relying on your explicit consent to process your information in categories (g) to (o) above. You provided this consent when [you agreed that I would provide legal services/you asked me to provide a reference].

You have the right to withdraw this consent at any time, but this will not affect the lawfulness of any processing activity I have carried out prior to you withdrawing your consent. However, where I also rely on other bases for processing your information, you may not be able to prevent processing of your data. For example, if you have asked me to work for you and I have spent time on your case, you may owe me money which I will be entitled to claim.

If there is an issue with the processing of your information, please contact my clerks using the contact details below.

Security of your personal information

I will take all reasonable technical and organisational precautions to prevent the loss, misuse or alteration of your personal information. Any personal data is kept stored electronically on a password protected and encrypted server.

Note:- The ability to work remotely at Court, Solicitors Office or on Public Transport travelling to or from Court is a necessary component of my work as an independent Barrister; however, I understand that work cannot AND should not be conducted remotely if I am in circumstances where it makes it possible for others to view or be aware of personal information being processed by myself.

Note:- When I transport my Laptop, IPhone, Case Papers, DVDs, CDs, Tapes, VHS Cassettes or Secure USB sticks these items are kept either on my person or in a wheelie suitcase which will not be left unattended.

Note:- I understand that whilst being transported mobile working devices must not be left unattended in cars, whether the cars are locked or not and that any loss of a desktop, laptop, tablet, tablet, smartphone, or portable storage device must immediately be reported.

Mobile Phone: My Mobile Phone is PIN locked and can only be opened with either a 6-digit PIN or a biometric finger prints.

Both Laptop and Desktop are password protected with passwords of at least 9 characters containing both numbers and capital letters.

The Laptop is encrypted and requires a further password of at least 9 digits containing both numbers and capital letters.

The Laptop and Desktop are simultaneously using Malware and Spyware protection.

Outside of home or Chambers, I ONLY uses my Laptop Computer in Court or through a tethered mobile phone linked to my own mobile. I understand that the Laptop should not be used on a Public Wi-Fi network.

Physical Exhibits

All CDs, DVDs, VHS cassette tapes and cassette tapes containing personal data are kept in a locked draw when not in use; ABE interviews or similar are similarly kept in a locked drawer.

All other documents containing personal information are kept in a folder at home or in Clapham Law Chambers at 85 Landor Road, when not in use in order to avoid accidental identification or consideration of the documents for others.

Digital Media Files held in the Cloud

All digital media held through Cloud computing services is material stored through Government/CPS administered or overseen systems and the encryption is determined by the Government/CPS.

Your Rights

Under the GDPR, you have a number of rights that you can exercise in certain circumstances. These are free of charge. In summary, you may have the right to:

Ask for access to your personal information and other supplementary information;

- Ask for correction of mistakes in your data or to complete missing information I hold on you
- Ask for your personal information to be erased, in certain circumstances;
- Receive a copy of the personal information you have provided to me or have this information sent to a third party. This will be provided to you or the third party in a structured, commonly used and machine readable format, e.g. a Word file;
- Object at any time to processing of your personal information for direct marketing;
- Object in certain other situations to the continued processing of your personal information;
- Restrict my processing of your personal information in certain circumstances;
- Request not to be the subject to automated decision-making which produces legal effects that concern you or affects you in a significant way.

If you want more information about your rights under the GDPR please see the Guidance from the Information Commissioners Office on Individual's rights under the GDPR.

If you want to exercise any of these rights, please:

• Use the contact details at the end of this document;

- I may need to ask you to provide other information so that you can be identified;
- Please provide a contact address so that you can be contacted to request further information to verify your identity;
- Provide proof of your identity and address;
- State the right or rights that you wish to exercise.

I will respond to you within one month from when I receive your request.

How to make a complaint?

The GDPR also gives you the right to lodge a complaint with the Information Commissioners' Office if you are in the UK, or with the supervisory authority of the Member State where you work, normally live or where the alleged infringement of data protection laws occurred. The Information Commissioner's Office can be contacted at http://ico.org.uk/concerns/.

Future Processing

I do not intend to process your personal information except for the reasons stated within this privacy notice. If this changes, this privacy notice will be amended and [placed on the website / insert notice mechanism].

Changes to this privacy notice

I continually review my privacy practices and may change this privacy notice and policy from time to time. When I do it will be placed on the website www.claphamlawchambers.co.uk on my personal profile on the website

Contact Details

If you have any questions about this privacy notice or the information I hold about you, please contact my clerks at info@claphamlawchambers.co.uk

The best way to contact me is to e mail or write to me at my Chambers address or contact my clerks by email or by phone at 020 7978 8482